

# Premaster Course

# Algorithms 1

SS 2018

**Prof. Dr. Christian Scheideler**

# Basic Information

## Lectures:

- Mo 4 – 6 pm F1.110

## Exam:

- Oral exam at end of course

## Course Webpage:

- <http://cs.uni-paderborn.de/ti/lehre/veranstaltungen/ss-2018/premaster-algorithms-1/>

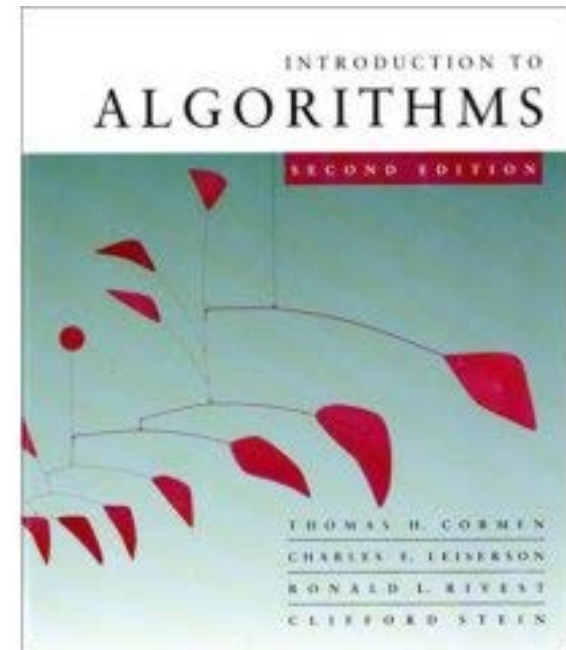
## Office hours:

- Thu, 4-5 pm, F2.326

# Basic Information

## Literature:

Cormen, Leiserson, Rivest, Stein:  
Introduction to Algorithms, 3rd ed.  
MIT Press/McGraw-Hill  
ISBN 0-262-53305-8



# Basic Information

## Contents of the course:

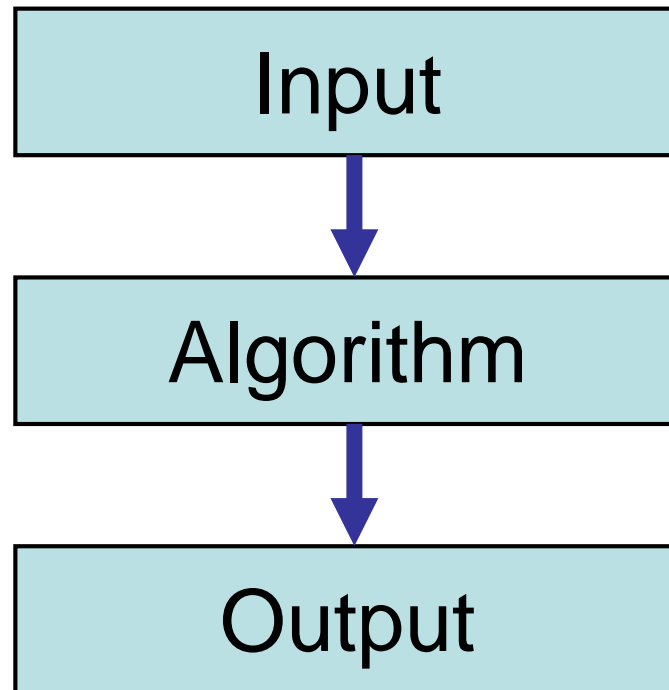
- April 9: Chapters 1-4 (Intro and runtime analysis)
- April 16: Chapters 6-7 (Sorting)
- April 23: Chapters 10-12  
(Elementary data structures)
- April 30: Chapters 15-16  
(Dynamic programming and greedy algorithms)
- May 7: Chapters 24-25 (Basic graph algorithms)
- May 14: Chapters 24-25 (Shortest paths)
- May 28: Chapter 26 (Maximum flow)

# What is an Algorithm?

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*Definition 1.1:* An *algorithm* is a concise description of a procedure to solve a certain class of problems.

*Here:*

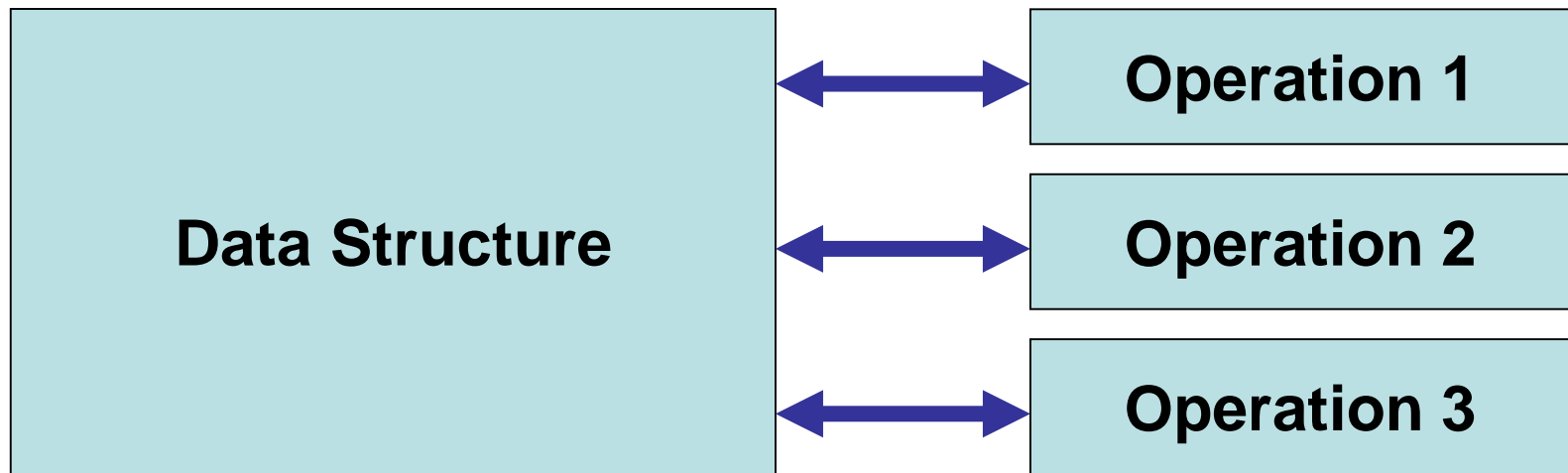


# What is a Data Structure?

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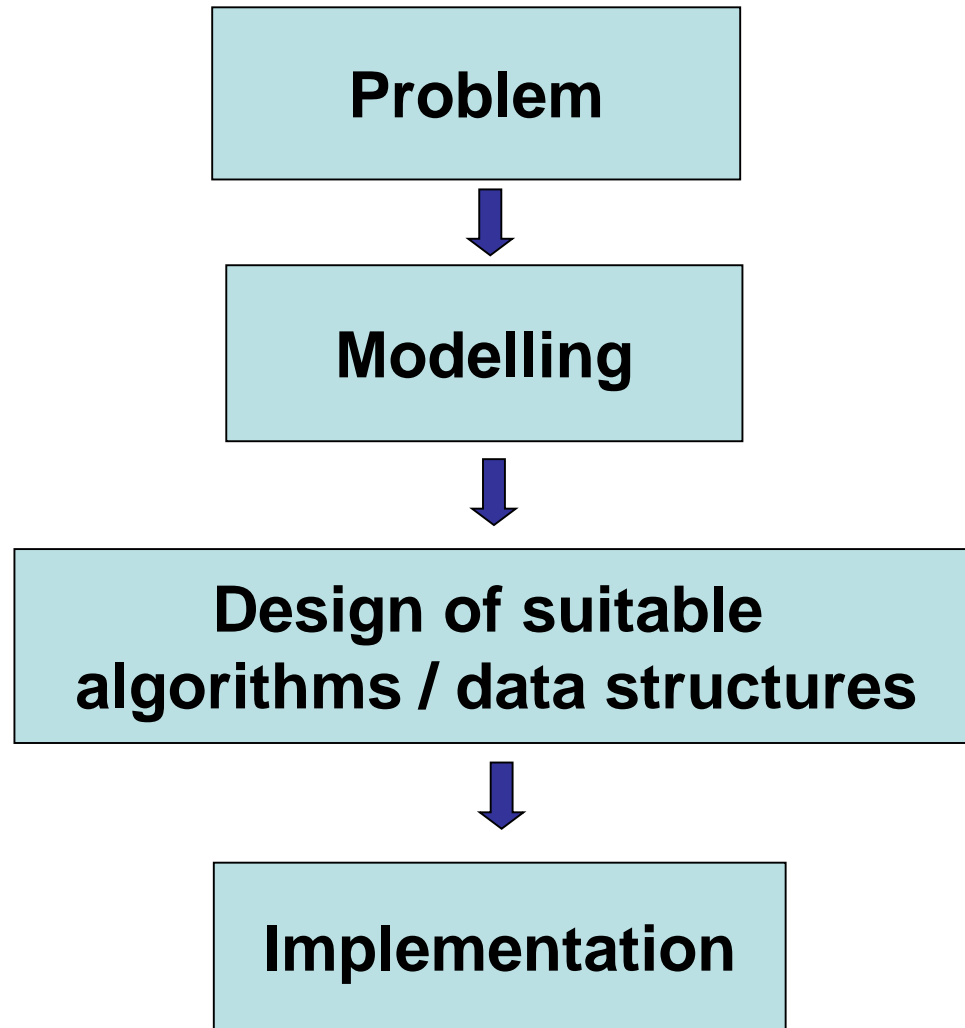
*Definition 1.2:* A **data structure** is a specific way of organizing data in the memory of a computer to facilitate operations like *Search, Insert, and Delete*.

Here:



# Software Development

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# Important Criteria

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- Algorithms / data structures must be correct.

⇒ *Correctness proofs.*

- Algorithms / data structures should work efficiently.

⇒ *Analytical methods for time and space analysis.*

- For *guarantees*, analytical methods *cannot* rely on empirical studies but must be based on a *mathematical analysis*.



# Design of Algorithms

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A rigorous algorithmic approach requires:

1. *Formal description of algorithm (in pseudo-code)*
2. *Correctness proof*
3. *Formal time and/or space analysis*

# Notation

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Pseudocode:

- Loops (for, while, repeat)
- Branching (if – then – else)
- Returning from procedure call (return)
- Assignment (:=)
- Block structure via indentation

Time needed for elementary operations (like assignments and checks): constant

# Example: Minimum Search

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Input: sequence A of n numbers  $(a_1, a_2, \dots, a_n)$

Output: index i with  $a_i \leq a_j$  for all  $1 \leq j \leq n$ .

Algorithm:

Min-Search(A):

```
1 min:=1
2 for j:=2 to length(A)
3   if A[j]<A[min] then min:=j
4 return min
```

Example:

Input: (31,41,59,26,51,48)

Output: 4

# Example: Sorting

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**Input:** sequence  $A$  of  $n$  numbers  $(a_1, a_2, \dots, a_n)$

**Output:** permutation of  $(a_1, a_2, \dots, a_n)$  into  $(b_1, b_2, \dots, b_n)$   
with  $b_1 \leq b_2 \leq \dots \leq b_n$ .

**Algorithm:**

**Insertion-Sort( $A$ ):**

```
1 for  $j:=2$  to  $\text{length}(A)$ 
2    $\text{key}:=A[j]$ ;  $i:=j-1$  // insert  $A[j]$  into  $A[1..j-1]$ 
3   while  $i>0$  and  $A[i]>\text{key}$ 
4      $A[i+1]:=A[i]$ 
5      $i:=i-1$ 
6    $A[i+1]:=\text{key}$ 
7 return  $A$ 
```

# Example: Sorting

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Runtime analysis:

Insertion-Sort(A):	cost	times
1 <b>for</b> j:=2 <b>to</b> length(A)	$C_1$	$n$
2     key:=A[j]; i:=j-1	$C_2$	$n-1$
3 <b>while</b> i>0 and A[i]>key	$C_3$	$\sum_{j=2}^n t_j$
4         A[i+1]:=A[i]	$C_4$	$\sum_{j=2}^n (t_j-1)$
5         i:=i-1	$C_5$	$\sum_{j=2}^n (t_j-1)$
6     A[i+1]:=key	$C_6$	$n-1$
7 <b>return</b> A	$C_7$	1

Worst case:  $t_j=j$  (A[j] has to be placed into A[1])

Worst case runtime:  $T(n) \approx c \cdot n^2$  for some constant  $c$

# Example: Sorting

---

**Input:** sequence  $A$  of  $n$  numbers  $(a_1, a_2, \dots, a_n)$

**Output:** permutation of  $(a_1, a_2, \dots, a_n)$  into  $(b_1, b_2, \dots, b_n)$   
with  $b_1 \leq b_2 \leq \dots \leq b_n$ .

**Algorithm:** Merge-Sort( $A, 1, n$ )

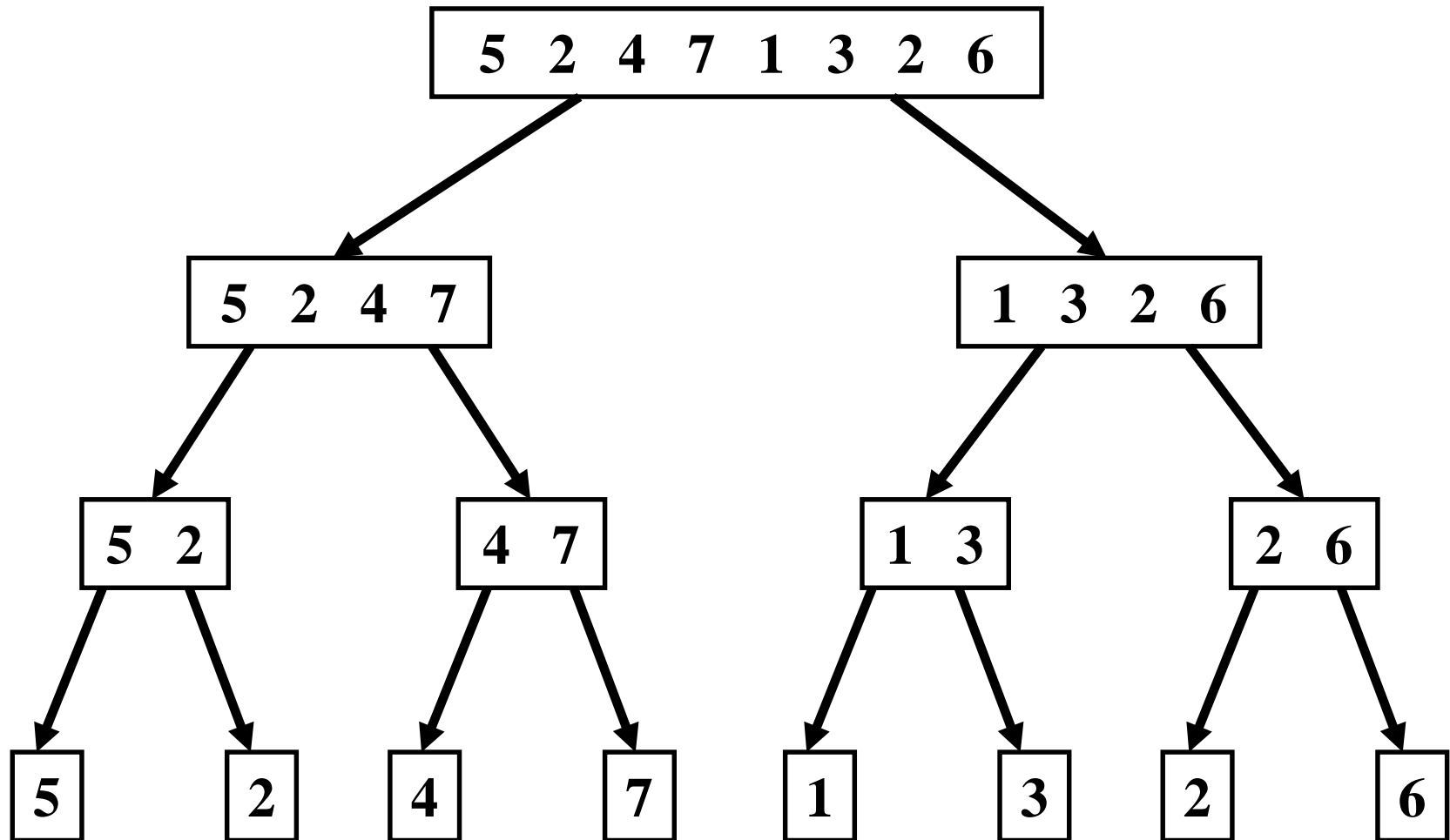
**Merge-Sort( $A, p, r$ ):**

- 1 **if**  $p < r$  **then**
- 2      $q := \lfloor (p+r)/2 \rfloor$  // compute middle position
- 3     Merge-Sort( $A, p, q$ )
- 4     Merge-Sort( $A, q+1, r$ )
- 5     Merge( $A, p, q, r$ )

Merge( $A, p, q, r$ ) merges sorted  $A[p..q]$  and  $A[q+1..r]$  to sorted  $A[p..r]$ .

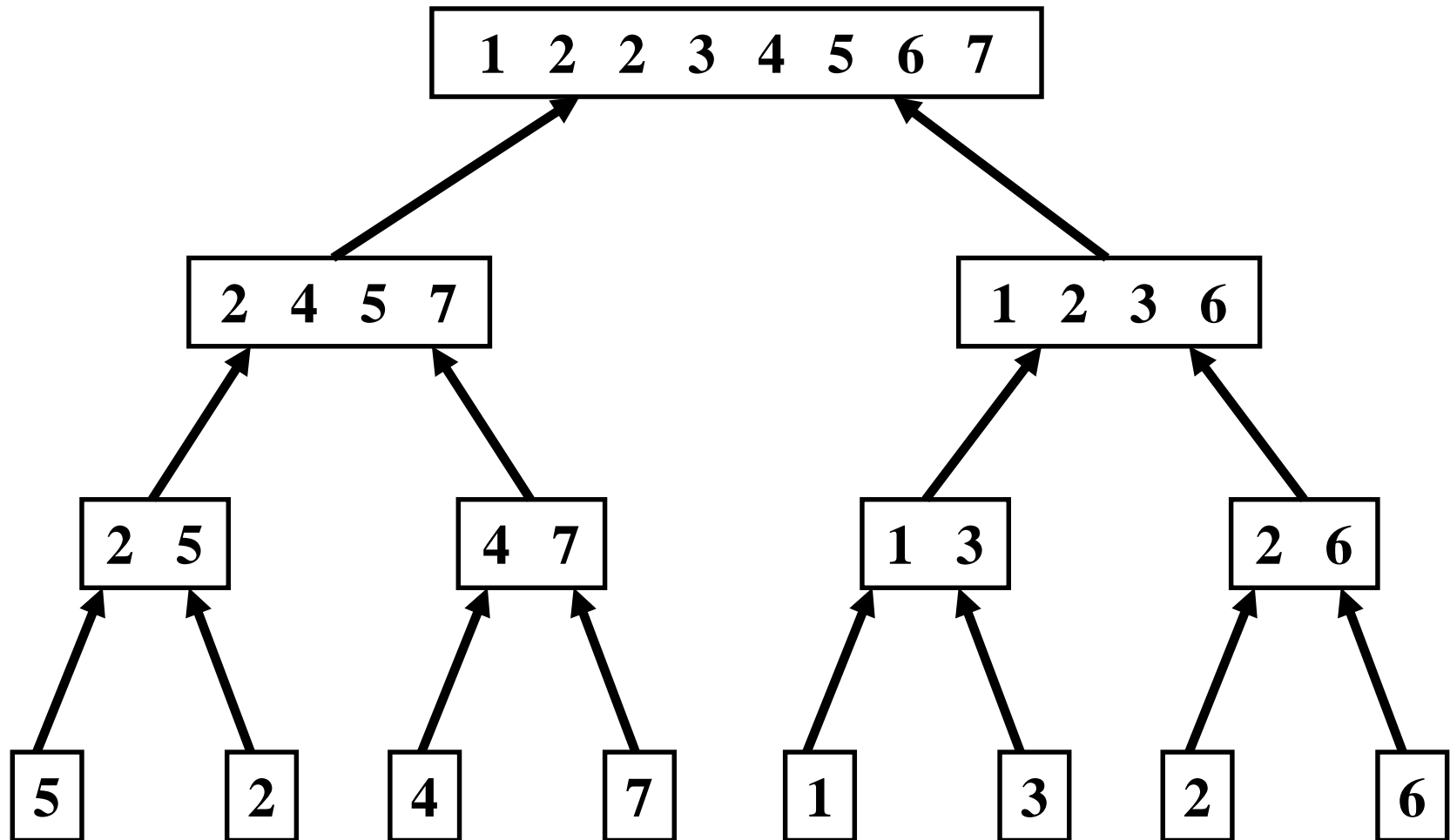
# Illustration of Merge-Sort (1)

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# Illustration of Merge-Sort (2)

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# Example: Sorting

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Runtime analysis: ( $n=r-p+1$ : number of elements)

Merge-Sort(A,p,r):	cost	times
1 <b>if</b> $p < r$ <b>then</b>	$c_1$	1
2 $q := \lfloor (p+r)/2 \rfloor$	$c_2$	1
3    Merge-Sort(A,p,q)	$T(q-p+1)$	1
4    Merge-Sort(A,q+1,r)	$T(r-q+1)$	1
5    Merge(A,p,q,r)	$c_3 \cdot n$	1

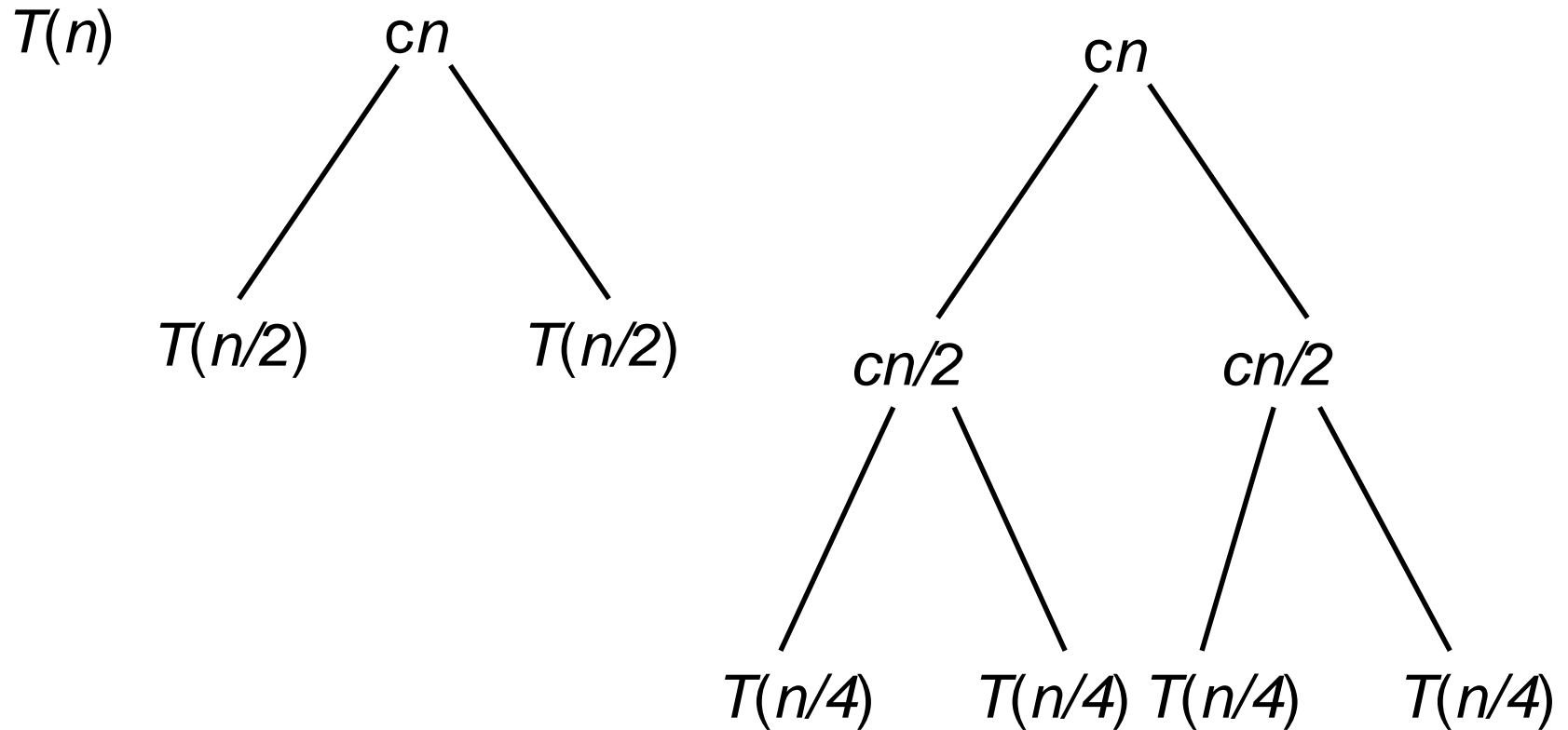
Merge(A,p,q,r) merges sorted  $A[p..q]$  and  $A[q+1..r]$  to sorted  $A[p..r]$ . Suppose that  $n$  is a power of 2. Then:

Runtime:

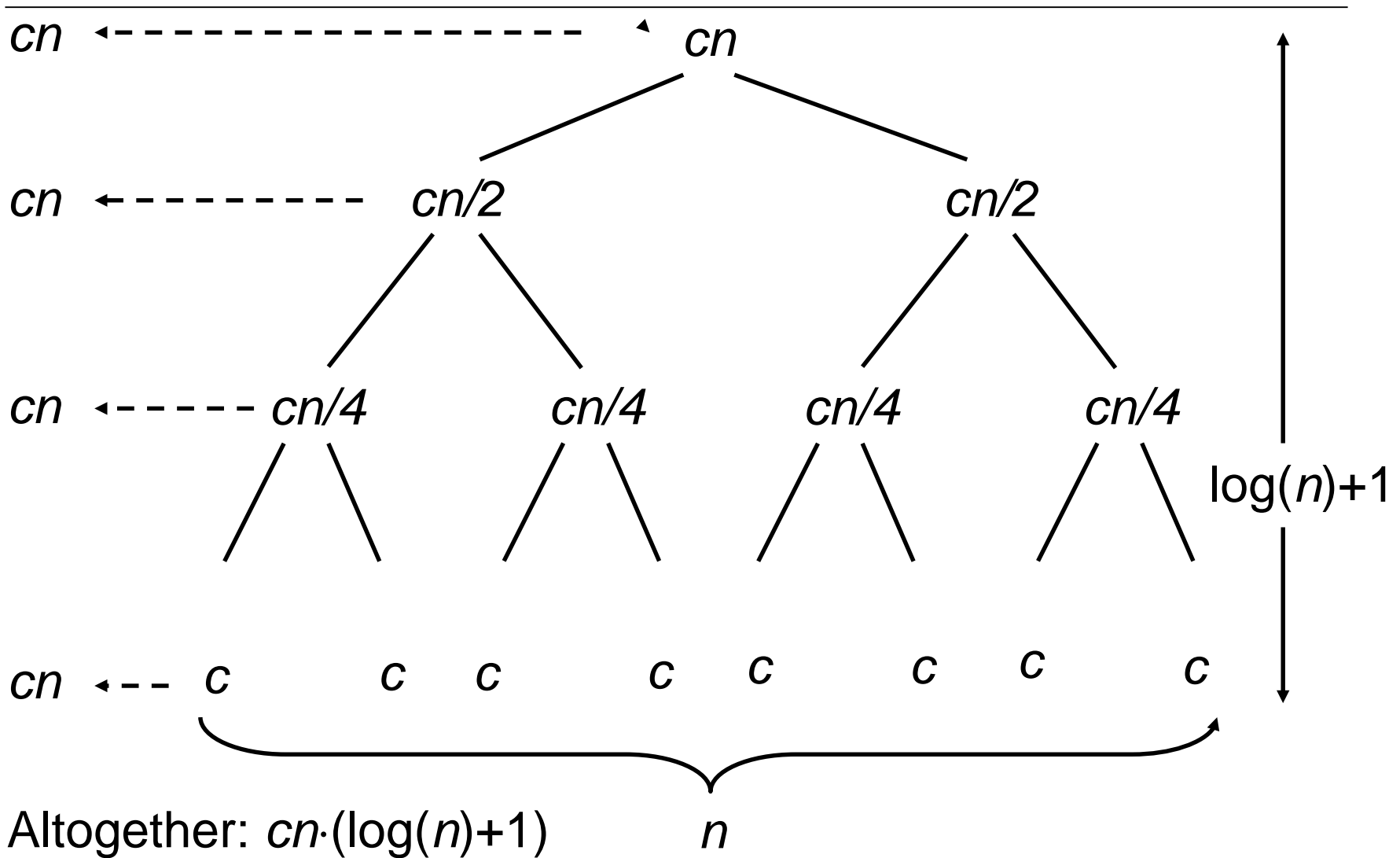
$$T(n) = \begin{cases} 2 \cdot T(n/2) + c_3 \cdot n + c_1 + c_2 & \text{if } n > 1 \\ c_1 & \text{if } n = 1 \end{cases}$$

# Runtime of Merge-Sort

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# Runtime of Merge-Sort



# Asymptotic Notation

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In theory, often just the **asymptotic runtime** of algorithms is of interest.

Asymptotic runtime ignores constants and just specifies the growth of functions.

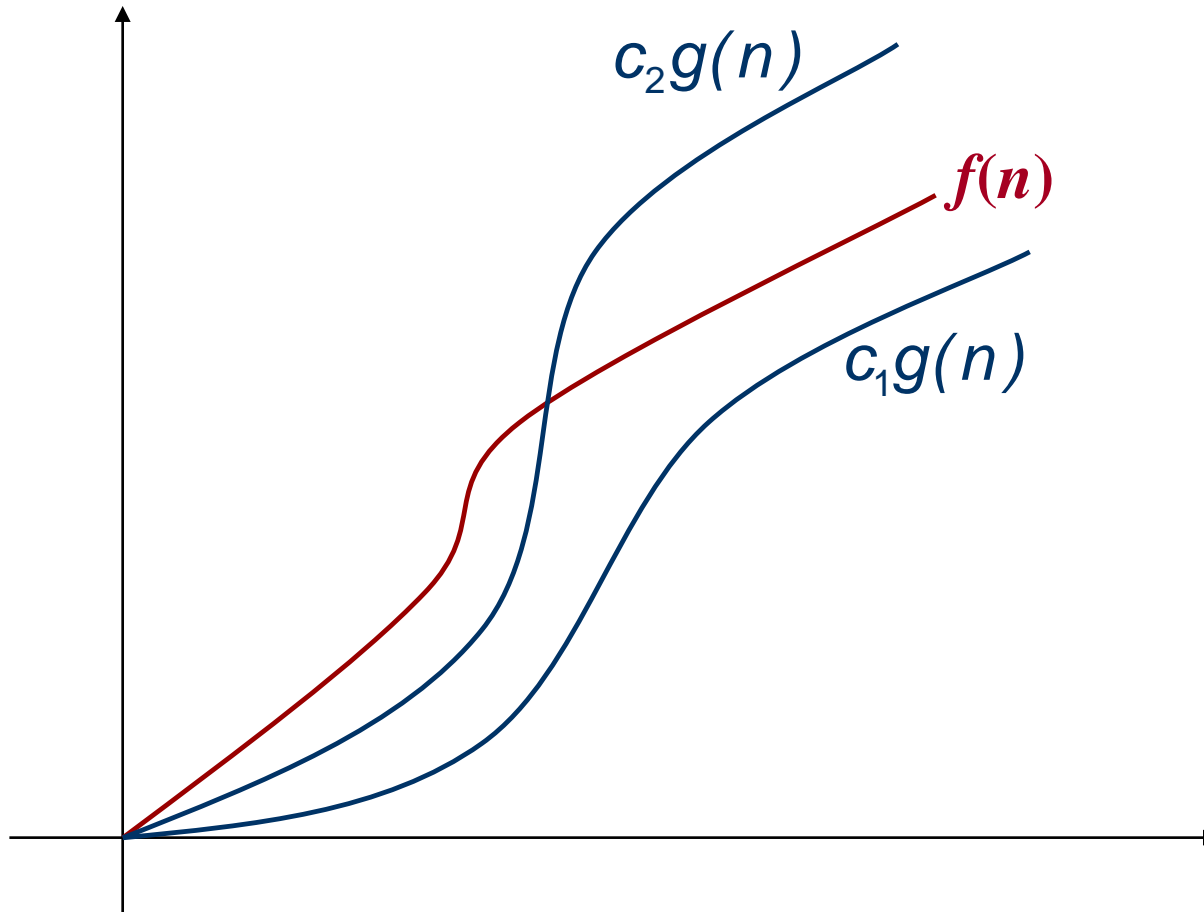
Instead of  $T(n)=c_1 \cdot n^2+c_2 \cdot n-c_3$  just  $T(n)=\Theta(n^2)$ .  
(  $\Theta$ : „grows as fast as“ )

Formally,

$\Theta(g(n)) = \{ f(n) \mid \text{there exist positive constants } c_1 \leq c_2 \text{ and } n_0 \text{ such that for all } n \geq n_0, 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \}$

# Illustration of $\Theta(g(n))$

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# Asymptotic Notation

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$\Theta(g(n)) = \{ f(n) \mid \text{there exist positive constants } c_1 \leq c_2 \text{ and } n_0 \text{ such that for all } n \geq n_0, 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \}$

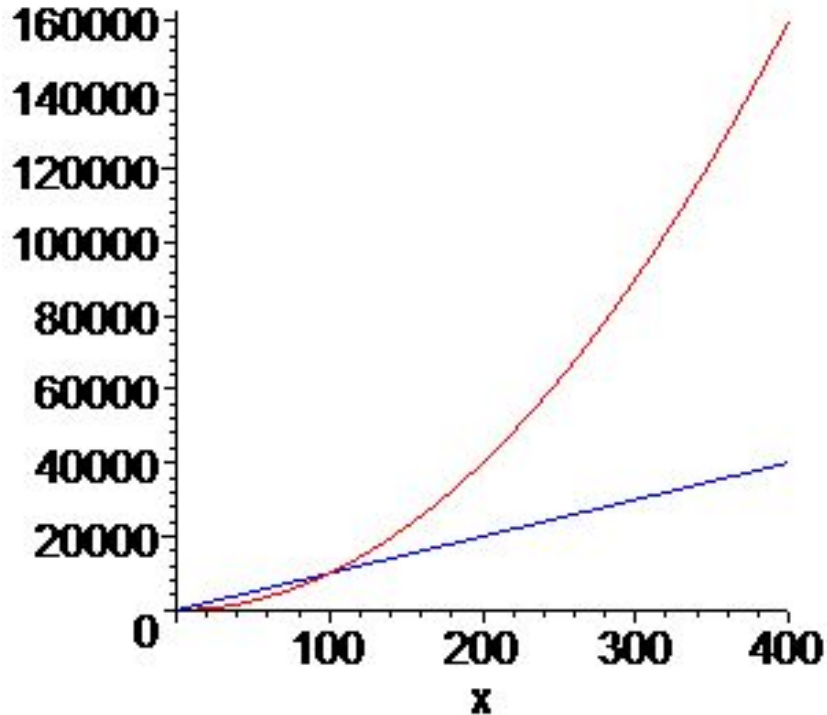
$O(g(n)) = \{ f(n) \mid \text{there exist positive constants } c \text{ and } n_0 \text{ such that for all } n \geq n_0, 0 \leq f(n) \leq c \cdot g(n) \}$

$\Omega(g(n)) = \{ f(n) \mid \text{there exist positive constants } c \text{ and } n_0 \text{ such that for all } n \geq n_0, 0 \leq c \cdot g(n) \leq f(n) \}$

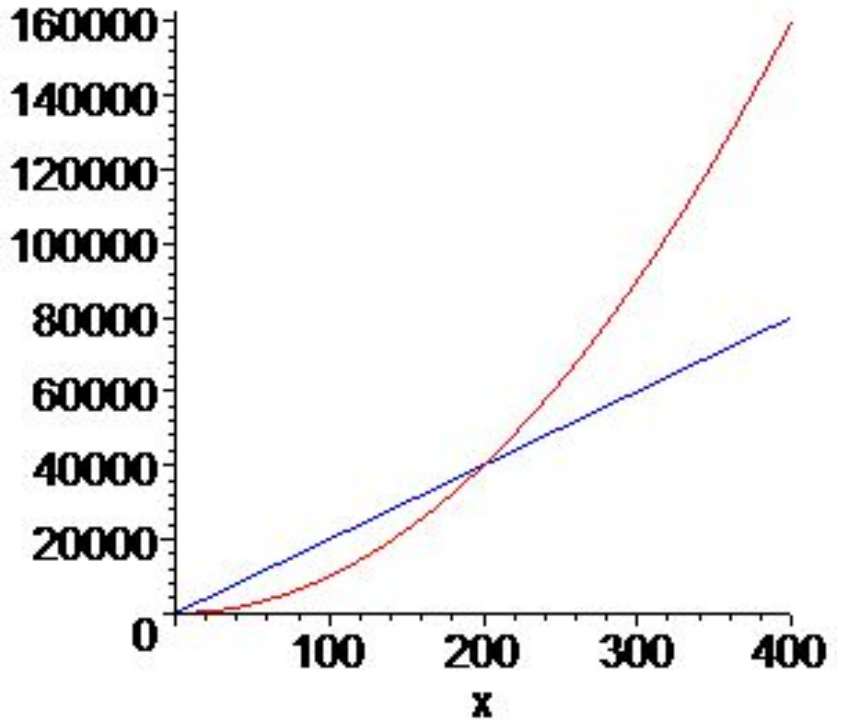
If  $f(n) \in O(g(n))$  and  $f(n) \in \Omega(g(n))$  then  $f(n) \in \Theta(g(n))$ .

By abuse of notation, one often writes  $f(n) = O(g(n))$  instead of  $f(n) \in O(g(n))$ .

# Illustration of $O(g(n))$



$$g(x) = x^2$$
$$f(x) = 100x$$



$$g(x) = x^2$$
$$f(x) = 200x$$

# Asymptotic Notation

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Transitivity:

- If  $f(n)=\Theta(g(n))$  and  $g(n)=\Theta(h(n))$  then  $f(n)=\Theta(h(n))$ .
- If  $f(n)=O(g(n))$  and  $g(n)=O(h(n))$  then  $f(n)=O(h(n))$ .
- If  $f(n)=\Omega(g(n))$  and  $g(n)=\Omega(h(n))$  then  $f(n)=\Omega(h(n))$ .

Reflexivity:

- $f(n)=\Theta(f(n))$ ,  $f(n)=O(f(n))$ , and  $f(n)=\Omega(f(n))$

Symmetry:

- $f(n)=\Theta(g(n))$  if and only if  $g(n)=\Theta(f(n))$ .

Transpose symmetry:

- $f(n)=O(g(n))$  if and only if  $g(n)=\Omega(f(n))$



# Asymptotic Notation

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Theorem 1.3: Let  $p(n) = \sum_{i=0}^k a_i \cdot n^i$  with  $a_k > 0$ . Then we have  $p(n) = \Theta(n^k)$ .

Proof:

To show:  $p(n) = O(n^k)$  and  $p(n) = \Omega(n^k)$ .

- $p(n) = O(n^k)$  : For all  $n \geq 1$ ,  
$$p(n) \leq \sum_{i=0}^k |a_i| n^i \leq n^k \sum_{i=0}^k |a_i|$$
- Hence, definition of  $O()$  is satisfied with  $c = \sum_{i=0}^k |a_i|$  and  $n_0 = 1$ .
- $p(n) = \Omega(n^k)$  : For all  $n \geq 2k \cdot A / a_k$  and  $A = \max_i |a_i|$ ,  
$$p(n) \geq a_k \cdot n^k - \sum_{i=0}^{k-1} A \cdot n^i \geq a_k n^k - k \cdot A n^{k-1} \geq a_k n^k / 2$$
- Hence, definition of  $\Omega()$  is satisfied with  $c = a_k / 2$  and  $n_0 = 2kA / a_k$ .

# Asymptotic Runtime Analysis

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Worst-case runtime:

- $T(I)$ : worst-case runtime of instruction  $I$
- $T(\text{elementary command}) = O(1)$
- $T(\text{return } x) = O(1)$
- $T(I; I') = T(I) + T(I')$
- $T(\text{if } C \text{ then } I \text{ else } I') = T(C) + \max\{T(I), T(I')\}$
- $T(\text{for } i:=a \text{ to } b \text{ do } I) = \sum_{i=a}^b (O(1) + T(I))$
- $T(\text{repeat } I \text{ until } C) = \sum_{i=1}^k (T(C) + T(I))$   
( $k$ : number of iterations)
- $T(\text{while } C \text{ do } I) = \sum_{i=1}^k (T(C) + T(I))$

Runtime analysis difficult for while- und repeat-loops since we need to determine  $k$ , which is sometimes not so easy!

# Asymptotic Runtime Analysis

---

Worst-case runtime:

- $T(I)$ : worst-case runtime of instruction  $I$
- $T(\text{elementary command}) = O(1)$
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- $T(\text{for } i:=a \text{ to } b \text{ do } I) = \sum_{i=a}^b (O(1) + T(I))$
- $T(\text{repeat } I \text{ until } C) = \sum_{i=1}^k (T(C) + T(I))$   
( $k$ : number of iterations)
- $T(\text{while } C \text{ do } I) = \sum_{i=1}^k (T(C) + T(I))$

To evaluate  $O$ -expressions we make use of the rule that for any positive functions  $f$  and  $g$ ,  $O(f(n)) + O(g(n)) = O(f(n) + g(n))$ .

# Example: Computation of Sign

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Input: number  $x \in \mathbb{R}$

Signum(x):

- 1 **if**  $x < 0$  **then** return -1  $O(1)$
- 2 **if**  $x > 0$  **then** return 1  $O(1)$
- 3 **return** 0  $O(1)$

---

$$\begin{aligned} \text{runtime: } O(1) + O(1) + O(1) &= O(1+1+1) \\ &= O(1) \end{aligned}$$

# Example: Minimum

---

Input: array of numbers  $A[1], \dots, A[n]$

Minimum(A):

1	$\text{min} := 1$	$O(1)$
2	<b>for</b> $i:=1$ <b>to</b> $n$ <b>do</b>	$\sum_{i=1}^n (O(1)+T(i))$
3	<b>if</b> $A[i] < \text{min}$ <b>then</b> $\text{min} := A[i]$	$O(1)$
4	<b>return</b> $\text{min}$	$O(1)$

---

$$\begin{aligned} \text{runtime: } O(1) + \sum_{i=1}^n O(1) + O(1) &= O(2 + \sum_{i=1}^n 1) \\ &= O(n) \end{aligned}$$

# Example: Sorting

Input: array of numbers  $A[1], \dots, A[n]$

Bubblesort(A):

```
1 for i:=1 to n-1 do
2   for j:= n-1 downto i do
3     if A[j]>A[j+1] then
4       x:=A[j]
5       A[j]:=A[j+1]
6       A[j+1]:=x
```

$$\sum_{i=1}^{n-1} (O(1) + T(I))$$

$$\sum_{j=i}^{n-1} (O(1) + T(I))$$

$$O(1) + T(I)$$

$$O(1)$$

$$O(1)$$

$$O(1)$$

---

$$\text{runtime: } O\left(\sum_{i=1}^{n-1} \sum_{j=i}^{n-1} 1\right) = O(n^2)$$

# Master Theorem

---

**Theorem 1.4:** For some positive constants  $a, b, c$  and  $d$  and  $n=b^k$  for some natural number  $k$  let

$$T(n) = a \cdot T(n/b) + c \cdot n \quad \text{if } n > 1$$

$$T(n) = d \quad \text{if } n \leq 1$$

Then it holds that

$$T(n) = \Theta(n) \quad \text{if } a < b$$

$$T(n) = \Theta(n \log n) \quad \text{if } a = b$$

$$T(n) = \Theta(n^{\log_b a}) \quad \text{if } a > b$$

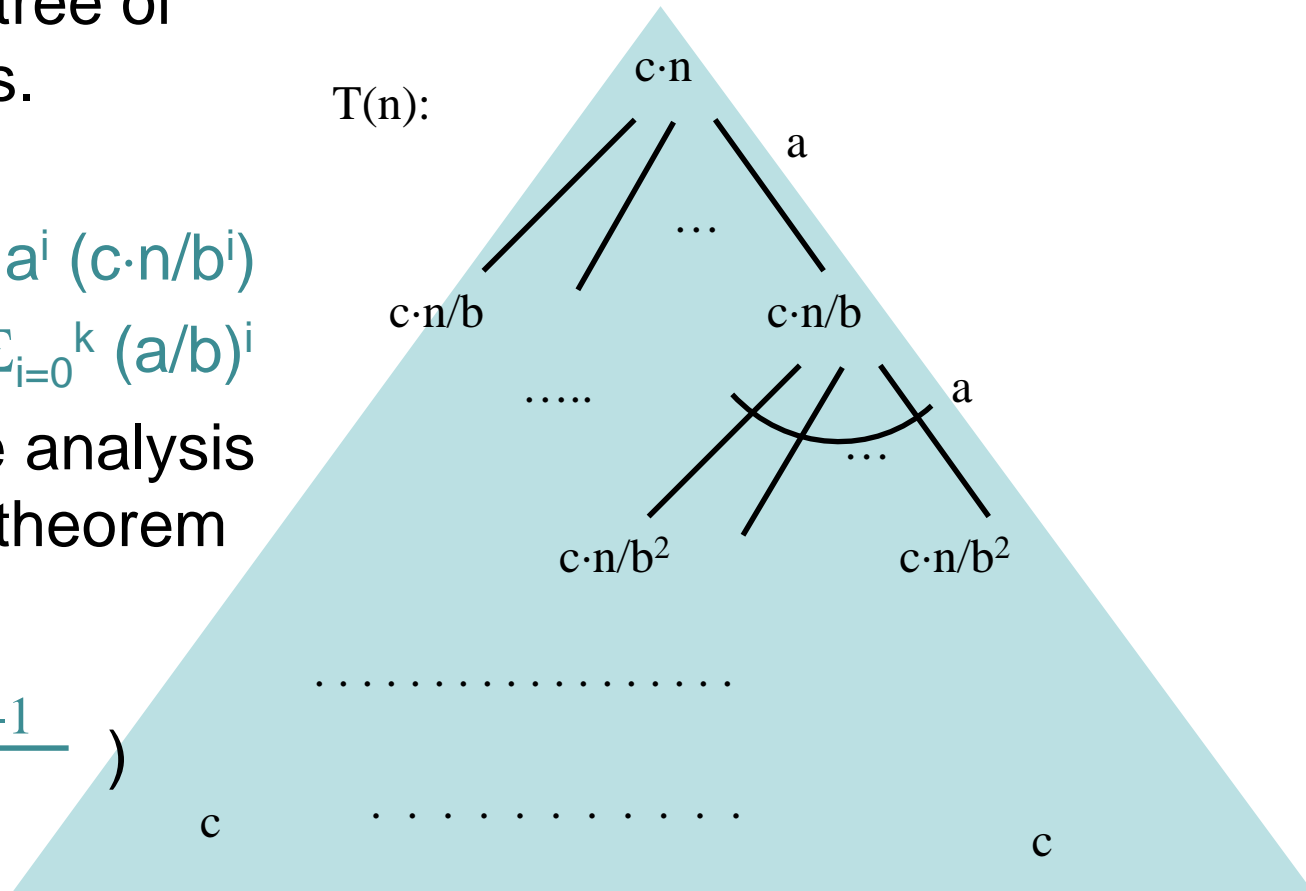
# Master Theorem

## Proof:

- Consider the tree of recursive calls.
- It holds:
 
$$T(n) \leq \sum_{i=0}^k a^i (c \cdot n / b^i)$$

$$\leq c \cdot n \sum_{i=0}^k (a/b)^i$$
- Case-by-case analysis results in the theorem (use for  $a \neq b$ )

$$\sum_{i=0}^k z^i = \frac{z^{i+1} - 1}{z - 1} )$$





# General Master Theorem

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**Theorem 1.5:** For some positive constants  $a, b, d$ , function  $f(n)$  and  $n = b^k$  for some integer  $k$  let

$$T(n) = a \cdot T(n/b) + f(n) \quad \text{if } n > 1$$

$$T(n) = d \quad \text{if } n \leq 1$$

Then it holds

- If  $f(n) = O(n^{\log_b a - \varepsilon})$  for some  $\varepsilon > 0$  then  $T(n) = O(n^{\log_b a})$ .
- If  $f(n) = O(n^{\log_b a})$  then  $T(n) = O(n^{\log_b a} \cdot \log n)$ .
- If  $f(n) = O(n^{\log_b a + \varepsilon})$  for some  $\varepsilon > 0$  and  $a \cdot f(n/b) \leq c \cdot f(n)$  for some constant  $c < 1$  then  $T(n) = O(f(n))$ .

# Example: Matrix Multiplication

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$$\begin{pmatrix} 3 & 7 & 5 & 4 \\ 0 & 3 & 2 & 4 \\ 10 & 2 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} 2 & 1 & 1 & 0 \\ 0 & 0 & 1 & 3 \\ 3 & 1 & 1 & 0 \\ 2 & 3 & 2 & 2 \end{pmatrix} = \begin{pmatrix} & & & \\ & & & \\ & & & \\ & & & \end{pmatrix}$$

# Matrix Multiplication

---

$$A=(a_{ij})_{1 \leq i, j \leq n}$$

$$B=(b_{ij})_{1 \leq i, j \leq n}$$

$$C=(c_{ij})_{1 \leq i, j \leq n}$$

$$\begin{pmatrix} 3 & 7 & 5 & 4 \\ 0 & 3 & 2 & 4 \\ 10 & 2 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} 2 & 1 & 1 & 0 \\ 0 & 0 & 1 & 3 \\ 3 & 1 & 1 & 0 \\ 2 & 3 & 2 & 2 \end{pmatrix} = \begin{pmatrix} 29 & 20 & 23 & 29 \\ 14 & 14 & \dots & \\ \dots & & & \\ \dots & & & \end{pmatrix}$$

$$c_{ij} = \sum_{k=1}^n a_{ik} \cdot b_{kj}$$

# Matrix Multiplication

---

**Problem:** Compute product of two  $n \times n$  matrices

- Input: matrices  $X, Y$
- Output: matrix  $Z = X \cdot Y$

$$X = \begin{pmatrix} X_{1,1} & X_{1,2} & X_{1,3} & X_{1,4} \\ X_{2,1} & X_{2,2} & X_{2,3} & X_{2,4} \\ X_{3,1} & X_{3,2} & X_{3,3} & X_{3,4} \\ X_{4,1} & X_{4,2} & X_{4,3} & X_{4,4} \end{pmatrix}, \quad Y = \begin{pmatrix} y_{1,1} & y_{1,2} & y_{1,3} & y_{1,4} \\ y_{2,1} & y_{2,2} & y_{2,3} & y_{2,4} \\ y_{3,1} & y_{3,2} & y_{3,3} & y_{3,4} \\ y_{4,1} & y_{4,2} & y_{4,3} & y_{4,4} \end{pmatrix}$$

# Matrix Multiplication

---

MatrixMultiplication(Array X, Y, n)

1. **new** array  $Z[1,\dots,n][1,\dots,n]$
2. **for**  $i \leftarrow 1$  **to**  $n$  **do**
3.     **for**  $j \leftarrow 1$  **to**  $n$  **do**
4.          $Z[i][j] \leftarrow 0$
5.         **for**  $k \leftarrow 1$  **to**  $n$  **do**
6.              $Z[i][j] \leftarrow Z[i][j] + X[i][k] \cdot Y[k][j]$
7. **return**  $Z$

# Matrix Multiplication

---

MatrixMultiplication(Array X, Y, n)

Runtime:

1. **new** array Z[1,...,n][1,...,n]
2. **for** i ← 1 **to** n **do**
3.     **for** j ← 1 **to** n **do**
4.         Z[i][j] ← 0
5.         **for** k ← 1 **to** n **do**
6.             Z[i][j] ← Z[i][j] + X[i][k] · Y[k][j]
7. **return** Z

$\Theta(n^2)$

# Matrix Multiplication

---

MatrixMultiplication(Array X, Y, n)

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7. **return** Z

Runtime:

$\Theta(n^2)$

$\Theta(n)$

# Matrix Multiplication

---

MatrixMultiplication(Array X, Y, n)

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Runtime:

$\Theta(n^2)$

$\Theta(n)$

$\Theta(n^2)$



# Matrix Multiplication

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Runtime:

$\Theta(n^2)$

$\Theta(n)$

$\Theta(n^2)$

$\Theta(n^2)$

# Matrix Multiplication

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MatrixMultiplication(Array X, Y, n)

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7. **return** Z

Runtime:

$\Theta(n^2)$

$\Theta(n)$

$\Theta(n^2)$

$\Theta(n^2)$

$\Theta(n^3)$

# Matrix Multiplication

---

MatrixMultiplication(Array X, Y, n)

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7. **return** Z

Runtime:

$\Theta(n^2)$

$\Theta(n)$

$\Theta(n^2)$

$\Theta(n^2)$

$\Theta(n^3)$

$\Theta(n^3)$

# Matrix Multiplication

---

MatrixMultiplication(Array X, Y, n)

1. **new** array Z[1,...,n][1,...,n]
2. **for** i ← 1 **to** n **do**
3.     **for** j ← 1 **to** n **do**
4.         Z[i][j] ← 0
5.         **for** k ← 1 **to** n **do**
6.             Z[i][j] ← Z[i][j] + X[i][k] · Y[k][j]
7. **return** Z

Runtime:

$\Theta(n^2)$

$\Theta(n)$

$\Theta(n^2)$

$\Theta(n^2)$

$\Theta(n^3)$

$\Theta(n^3)$

$\Theta(1)$

# Matrix Multiplication

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Runtime:

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$\Theta(n)$

$\Theta(n^2)$

$\Theta(n^2)$

$\Theta(n^3)$

$\Theta(n^3)$

$\Theta(1)$

---

$\Theta(n^3)$

# Matrix Multiplication

---

## Recursive approach:

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} \times \begin{pmatrix} E & F \\ G & H \end{pmatrix} = \begin{pmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{pmatrix}$$

## Recursive calls:

- 8 multiplications of  $n/2 \times n/2$  matrices
- 4 additions of  $n/2 \times n/2$  matrices

# Matrix Multiplication

---

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## Recursive calls:

- 8 multiplications of  $n/2 \times n/2$  matrices
- 4 additions of  $n/2 \times n/2$  matrices

## Runtime:

- $T(n) = 8 \cdot T(n/2) + \Theta(n^2)$

# Matrix Multiplication

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## Runtime:

- $T(n) = 8 \cdot T(n/2) + k \cdot n^2$   
           $\uparrow$            $\uparrow$            $\uparrow$   
          a          b          f(n)

## General Master Theorem:

- $f(n) = k \cdot n^2$
- $a=8, b=2$
- **Case 1:** Runtime  $\Theta(n^{\log_b a}) = \Theta(n^3)$
- **Not better than elementary algorithm!**



# Matrix Multiplication

## Strassen's Algorithm:

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} \times \begin{pmatrix} E & F \\ G & H \end{pmatrix} = \begin{pmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{pmatrix}$$

### Trick:

$$P_1 = A \cdot (F - H)$$

$$P_5 = (A + D) \cdot (E + H)$$

$$P_2 = (A + B) \cdot H$$

$$P_6 = (B - D) \cdot (G + H)$$

$$P_3 = (C + D) \cdot E$$

$$P_7 = (A - C) \cdot (E + F)$$

$$P_4 = D \cdot (G - E)$$

$$AE + BG = P_5 + P_4 - P_2 + P_6$$

$$AF + BH = P_1 + P_2$$

$$CE + DG = P_3 + P_4$$

$$CF + DH = P_5 + P_1 - P_3 - P_7$$

**7 Multiplications!!!**

# Matrix Multiplication

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## Runtime:

- $T(n) = 7 \cdot T(n/2) + k \cdot n^2$   
           $\uparrow$            $\uparrow$            $\uparrow$   
          a          b          f(n)

## General Master Theorem:

- $f(n) = k \cdot n^2$
- $a=7, b=2$
- **Case 1:** Runtime  $\Theta(n^{\log_b a}) = \Theta(n^{\log_2 7}) = \Theta(n^{2.81})$
- **Better than elementary approach!**

# Summary

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We covered:

- Pseudo code
- Asymptotic runtime analysis

Next lecture:

- Sorting